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**SECOND SUPPLEMENTAL  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	09/914,046
Filing Date	October 1, 2001
First Named Inventor	XU, Liang
Art Unit	1644
Examiner Name	Dibrino, M.
Attorney Docket Number	2474.0010001/BJD/JKM

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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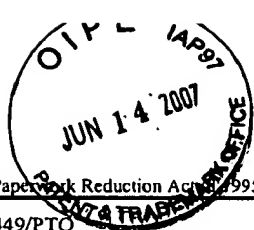
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/MD/	NPL1	ALLEN, T.M., <i>et al.</i> , "A new strategy for attachment of antibodies to sterically stabilized liposomes resulting in efficient targeting to cancer cells," <i>Biochim. Biophys. Acta</i> 1237:99-108, Elsevier Science Inc. (1995)	
	NPL2	ALLEN, T.M., <i>et al.</i> , "Antibody-Targeted Stealth <sup>®</sup> Liposomes" in <i>Stealth Liposomes</i> , Lasic, D.D. and Martin, F.J., eds., CRC Press Inc., Boca Raton, FL, pp. 233-244 (1995)	
	NPL3	AOKI, K., <i>et al.</i> , "Liposome-mediated <i>in Vivo</i> Gene Transfer of Antisense K-ras Construct Inhibits Pancreatic Tumor Dissemination in the Murine Peritoneal Cavity," <i>Cancer Res.</i> 55:3810-3816, American Association for Cancer Research (1995)	
	NPL4	BAJORIA, R., and CONTRACTOR, S.F., "Effect of Surface Charge of Small Unilamellar Liposomes on Uptake and Transfer of Carboxyfluorescein across the Perfused Human Term Placenta," <i>Pediatr. Res.</i> 42:520-527, International Pediatrics Research Foundation, Inc. (1997)	
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	NPL6	BRISTOW, R.G., <i>et al.</i> , "The p53 gene as a modifier of intrinsic radiosensitivity: implications for radiotherapy," <i>Radiother. Oncol.</i> 40:197-223, Elsevier Scientific Publishers (1996)	
	NPL7	CHEN, L., <i>et al.</i> , "Synergistic activation of p53 by inhibition of MDM2 expression and DNA damage," <i>Proc. Natl. Acad. Sci. USA</i> 95:195-200, National Academy of Sciences (1998)	
	NPL8	CHENG, P.-W., "Receptor Ligand-Facilitated Gene Transfer: Enhancement of Liposome-Mediated Gene Transfer and Expression by Transferrin," <i>Hum. Gene Ther.</i> 7:275-282, Mary Ann Liebert, Inc. (1996)	
	NPL9	CHIARUGI, V., <i>et al.</i> , "Cox-2, iNOS and p53 as play-makers of tumor angiogenesis (Review)," <i>Int. J. Mol. Med.</i> 2:715-719, D.A. Spandidos (1998)	
/MD/	NPL10	CLARK, P.R., and HERSH, E.M., "Cationic lipid-mediated gene transfer: Current concepts," <i>Curr. Opin. Mol. Ther.</i> 1:158-176, Current Drugs Ltd. (April 1999)	

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/MD/	NPL11	CRISTIANO, R.J., and CURIEL, D.T., "Strategies to accomplish gene delivery via the receptor-mediated endocytosis pathway," <i>Cancer Gene Ther.</i> 3:49-57, Appleton & Lange (1996)	
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	NPL13	DUBÉ, D., <i>et al.</i> , "Preparation and Tumor Cell Uptake of Poly(N-isopropylacrylamide) Folate Conjugates," <i>Bioconjugate Chem.</i> 13:685-692, American Chemical Society (May-June 2002)	
	NPL14	ELLIOTT, R.L., <i>et al.</i> , "Breast Carcinoma and the Role of Iron Metabolism: A Cytochemical, Tissue Culture, and Ultrastructural Study," <i>Ann. N.Y. Acad. Sci.</i> 698:159-166, New York Academy of Sciences (1993)	
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	NPL16	FORSSSEN, E., and WILLIS, M., "Ligand-targeted liposomes," <i>Adv. Drug Deliv. Rev.</i> 29:249-271, Elsevier Science B.V. (1998)	
	NPL17	FUJIWARA, T., <i>et al.</i> , "A Retroviral Wild-Type <i>p53</i> Expression Vector Penetrates Human Lung Cancer Spheroids and Inhibits Growth by Inducing Apoptosis," <i>Cancer Res.</i> 53:4129-4133, American Association for Cancer Research (1993)	
/MD/	NPL18	FUJIWARA, T., <i>et al.</i> , "Induction of Chemosensitivity in Human Lung Cancer Cells <i>in vivo</i> by Adenovirus-mediated Transfer of the Wild-Type <i>p53</i> Gene," <i>Cancer Res.</i> 54:2287-2291, American Association for Cancer Research (1994)	
/MD/	NPL19	HAMADA, K., <i>et al.</i> , "Adenovirus-mediated Transfer of a Wild-Type <i>p53</i> Gene and Induction of Apoptosis in Cervical Cancer," <i>Cancer Res.</i> 56:3047-3054, American Association for Cancer Research (1996)	
/MD/	NPL20	HUWYLER, J., <i>et al.</i> , "Brain drug delivery of small molecules using immunoliposomes," <i>Proc. Natl. Acad. Sci. USA</i> 93:14164-14169, National Academy of Sciences (1996)	

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/MD/	NPL21	JOHNSON, P., <i>et al.</i> , "Expression of Wild-Type p53 Is Not Compatible with Continued Growth of p53-Negative Tumor Cells," <i>Mol. Cell Biol.</i> 11:1-11, American Society for Microbiology (1991)	
	NPL22	KERR, J.F.R., <i>et al.</i> , "Apoptosis: Its Significance in Cancer and Cancer Therapy," <i>Cancer</i> 73:2013-2026, Wiley (1994)	
	NPL23	KIRPOTIN, D., <i>et al.</i> , "Sterically Stabilized Anti-HER2 Immunoliposomes: Design and Targeting to Human Breast Cancer Cells <i>in Vitro</i> ," <i>Biochemistry</i> 36:66-75, American Chemical Society (1997)	
	NPL24	KONING, G.A., <i>et al.</i> , "Antiproliferative effect of immunoliposomes containing 5-fluorodeoxyuridine-dipalmitate on colon cancer cells," <i>Br. J. Cancer</i> 80:1718-1725, Cancer Research Campaign (August 1999)	
	NPL25	KONING, G.A., <i>et al.</i> , "Selective transfer of a lipophilic prodrug of 5-fluorodeoxyuridine from immunoliposomes to colon cancer cells," <i>Biochim. Biophys. Acta</i> 1420:153-167, Elsevier Science B.V. (August 1999)	
	NPL26	KONISHI, H., <i>et al.</i> , "Targeting Strategy for Gene Delivery to Carcinoembryonic Antigen-Producing Cancer Cells by Retrovirus Displaying a Single-Chain Variable Fragment Antibody," <i>Hum. Gene Ther.</i> 9:235-248, Mary Ann Liebert, Inc. (1998)	
	NPL27	LASIC, D.D., <i>et al.</i> , "Sterically stabilized liposomes in cancer therapy and gene delivery," <i>Curr. Opin. Mol. Ther.</i> 1:177-185, Current Drugs Ltd. (April 1999)	
	NPL28	LASIC, D.D., and PAPAHAJDOPOULOS, D., "Liposomes Revisited," <i>Science</i> 267:1275-1276, American Association for the Advancement of Science (1995)	
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/MD/	NPL30	LEWIS, J.G., <i>et al.</i> , "A serum-resistant cytofectin for cellular delivery of antisense oligodeoxynucleotides and plasmid DNA," <i>Proc. Natl. Acad. Sci. USA</i> 93:3176-3181, National Academy of Sciences (1996)	

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/MD/	NPL31	LI, S., and HUANG, L., "Functional Pleomorphism of Liposomal Gene Delivery Vectors--Lipoplex and Lipopolyplex," in <i>Liposomes--Rational Design</i> , Janoff, A.S., ed., Marcel Dekker, Inc., New York, NY, pp. 89-124 (1998)	
	NPL32	LIU, T.J., <i>et al.</i> , "Growth Suppression of Human Head and Neck Cancer Cells by the Introduction of a Wild-Type p53 Gene via a Recombinant Adenovirus," <i>Cancer Res.</i> 54:3662-3667, American Association for Cancer Research (1994)	
	NPL33	LOWE, S.W., "Cancer therapy and p53," <i>Curr. Opin. Oncol.</i> 7:547-553, Rapid Science Publishers (1995)	
	NPL34	MARTIN, F., <i>et al.</i> , "Retroviral Vector Targeting to Melanoma Cells by Single-Chain Antibody Incorporation in Envelope," <i>Human Gene Ther.</i> 9:737-746, Mary Ann Liebert, Inc. (1998)	
	NPL35	MASSING, U., "Cancer therapy with liposomal formulations of anticancer drugs," <i>Int. J. Clin. Pharmacol. Ther.</i> 35:87-90, Dusti-Verlag Dr. K. Feistle (1997)	
	NPL36	MATLASHEWSKI, G., "p53: Twenty years on, Meeting Review," <i>Oncogene Rev.</i> 18:7618-7620, Stockton Press (December 1999)	
	NPL37	MIYAMOTO, T., <i>et al.</i> , "Transferrin receptor in oral tumors," <i>Int. J. Oral Maxillofac. Surg.</i> 23:430-433, Munksgaard (1994)	
	NPL38	MIYASHITA, T., <i>et al.</i> , "Tumor suppressor p53 is a regulator of bcl-2 and bax gene expression in vitro and in vivo," <i>Oncogene</i> 9:1799-1805, Macmillan Press Ltd. (1994)	
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/MD/	NPL40	NAM, S.M., <i>et al.</i> , "Sterically Stabilized Anti-G <sub>M3</sub> , anti-Le <sup>x</sup> Immunoliposomes: Targeting to B16BL6, HRT-18 Cancer Cells," <i>Oncol. Res.</i> 11:9-16, Cognizant Communication Corporation (July 1999)	

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↓	NPL42	NICHOLSON, I.C., <i>et al.</i> , "Construction and Characterisation of a Functional CD19 Specific Single Chain Fv Fragment for Immunotherapy of B Lineage Leukaemia and Lymphoma," <i>Mol. Immunol.</i> 34:1157-1165, Elsevier Science Ltd. (1997)	
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/MD/	NPL44	PARK, J.W., <i>et al.</i> , "Development of anti-p185 <sup>HER2</sup> immunoliposomes for cancer therapy," <i>Proc. Natl. Acad. Sci. USA</i> 92:1327-1331, National Academy of Sciences (1995)	
/MD/	NPL45	PARK, J.W., <i>et al.</i> , "Tumor targeting using anti-her2 immunoliposomes," <i>J. Control. Rel.</i> 74:95-113, Elsevier Science B.V. (July 2001)	
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↓	NPL48	POON, R.Y.M., "Advances in Monoclonal Antibody Applications: Bispecific Antibodies" in <i>Biotechnology International: International Developments in the Biotechnology Industry</i> , Fox, F., and Connor, T.H., eds., Universal Medical Press, Inc., San Francisco, CA, pp. 113-128 (1997)	
/MD/	NPL49	RAIT, A.S., <i>et al.</i> , "Inhibitory effects of the combination of HER-2 antisense oligonucleotide and chemotherapeutic agents used for the treatment of human breast cancer," <i>Cancer Gene Ther.</i> 8:728-739, Nature Publishing Group (October 2001)	
/MD/	NPL50	ROH, H., <i>et al.</i> , "HER2/ <i>neu</i> antisense targeting of human breast carcinoma," <i>Oncogene</i> 19:6138-6143, Macmillan Publishers Ltd. (December 2000)	

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Sheet	6	of	7	Attorney Docket Number	2474.0010001/BJD/JKM

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
/MD/	NPL51	RULEY, H.E., "p53 and Response to Chemotherapy and Radiotherapy," in <i>Important Adv. Oncol. 1996</i> , DeVita, V.T., et al., eds., Lippincott-Raven Publishers, Philadelphia, PA, pp. 37-56 (1996)	
/MD/	NPL52	SCHIER, R., et al., "In vitro and in vivo characterization of a human anti-c-erbB-2 single-chain Fv isolated from a filamentous phage antibody library," <i>Immunotechnology</i> 1:73-81, Elsevier Science B.V. (1995)	
/MD/	NPL53	SHAHINIAN, S., and SILVIUS, J.R., "A novel strategy affords high-yield coupling of antibody Fab' fragments to liposomes," <i>Biochim. Biophys. Acta</i> 1239:157-167, Elsevier Science B.V. (1995)	
/MD/	NPL54	SIDRANSKY, D., and HOLLSTEIN, M., "Clinical implications of the p53 gene," <i>Annu. Rev. Med.</i> 47:285-301, Annual Reviews, Inc. (1996)	
/MD/	NPL55	SRIVASTAVA, S., et al., "Recombinant Adenovirus Vector Expressing Wild-type p53 is a Potent Inhibitor of Prostate Cancer Cell Proliferation," <i>Urology</i> 46:843-848, Excerpta Medica, Inc. (1995)	
/MD/	NPL56	SUZUKI, S., et al., "Modulation of doxorubicin resistance in a doxorubicin-resistant human leukaemia cell by an immunoliposome targeting transferring receptor," <i>Br. J. Cancer</i> 76:83-89, Cancer Research Campaign (1997)	
/MD/	NPL57	The Journal of Gene Medicine Clinical Trials Database, "Gene Therapy Clinical Trials Worldwide," available online at <a href="http://www.wiley.co.uk/wileychi/genmed/clinical">http://www.wiley.co.uk/wileychi/genmed/clinical</a> , John Wiley and Sons, Ltd., 2 pages (accessed September 2001)	
/MD/	NPL58	THIERRY, A.R., et al., "Systemic gene therapy: Biodistribution and long-term expression of a transgene in mice," <i>Proc. Natl. Acad. Sci. USA</i> 92:9742-9746, National Academy of Science (1995)	
/MD/	NPL59	THORSTENSEN, K. and ROMSLO, I., "The Transferrin Receptor: Its Diagnostic Value and its Potential as Therapeutic Target," <i>Scand. J. Clin. Lab. Invest.</i> 53 (Suppl. 215):113-120, Universitetsforlaget (1993)	
/MD/	NPL60	VERTUT-DOÏ, A., et al., "Binding and uptake of liposomes containing a poly(ethylene glycol) derivative of cholesterol (stealth liposomes) by the macrophage cell line J774: influence of PEG content and its molecular weight," <i>Biochim. Biophys. Acta</i> 1278:19-28, Elsevier Science B.V. (1996)	

Examiner Signature	/Marianne Dibrino/	Date Considered	07/13/2007
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<b>SECOND SUPPLEMENTAL  INFORMATION DISCLOSURE  STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/914,046
				Filing Date	October 1, 2001
				First Named Inventor	XU, Liang
				Art Unit	1644
				Examiner Name	Dibrino, M.
Sheet	7	of	7	Attorney Docket Number	2474.0010001/BJD/JKM

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/MD/	NPL61	VOLPERT, O.V., <i>et al.</i> , "Sequential development of an angiogenic phenotype by human fibroblasts progressing to tumorigenicity," <i>Oncogene</i> 14:1495-1502, Stockton Press (1997)	
/MD/	NPL62	WEINBERG, E.D., "Roles of Iron in Neoplasia: Promotion, Prevention, and Therapy," <i>Biol. Trace Element Res.</i> 34:123-140, Humana Press, Inc. (1992)	
/MD/	NPL63	XU, L., <i>et al.</i> , "Systemic p53 gene therapy in combination with radiation results in human tumor regression," <i>Tumor Targeting</i> 4:92-104, Stockton Press (July 1999)	
/MD/	NPL64	XU, L., <i>et al.</i> , "Transferrin-Liposome-Mediated Systemic p53 Gene Therapy in Combination with Radiation Results in Regression of Human Head and Neck Cancer Xenografts," <i>Hum. Gene Ther.</i> 10:2941-2952, Mary Ann Liebert, Inc. (December 1999)	
/MD/	NPL65	XU, L., <i>et al.</i> , "Self-Assembly of a Virus-Mimicking Nanostructure System for Efficient Tumor-Targeted Gene Delivery," <i>Hum. Gene Ther.</i> 13:469-481, Mary Ann Liebert, Inc. (February 2002)	
/MD/	NPL66	XU, L., <i>et al.</i> , "Systemic Tumor-targeted Gene Delivery by Anti-Transferrin Receptor scFv-Immunoliposomes," <i>Mol. Cancer Ther.</i> 1:337-346, American Association for Cancer Research (March 2002)	
/MD/	NPL67	YANG, C., <i>et al.</i> , "Adenovirus-mediated Wild-Type p53 Expression Induces Apoptosis and Suppresses Tumorigenesis of Prostatic Tumor Cells," <i>Cancer Res.</i> 55:4210-4213, American Association for Cancer Research (1995)	
/MD/	NPL68	YAZDI, P.T., <i>et al.</i> , "Influence of Cellular Trafficking on Protein Synthesis Inhibition of Immunotoxins Directed against the Transferrin Receptor," <i>Cancer Res.</i> 55:3763-3771, American Association for Cancer Research (1995)	
/MD/	NPL69	ZHANG, W.-W., <i>et al.</i> , "Advances in Cancer Gene Therapy," <i>Adv. Pharmacol.</i> 32:289-341, Academic Press, Inc. (1995)	

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